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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Kristen McRedmond  
Serial No.: 09/783,499      Group Art Unit:  
Filed: 02/14/01      Examiner:

For: **SYSTEM AND METHOD FOR BUSINESS TO INVESTOR EXCHANGE FOR  
RAISING CAPITAL AND FOR CREATING A SECONDARY MARKET FOR  
PRIVATE EQUITY**

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Dear Sir:

Enclosed please find the following:

1. Copy of Notice to File Corrected Application Papers;
2. Substitute Drawings(2 figures,2 sheets); and
3. Certificate of Express mailing.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to Deposit Account No. 18-1579. The Commissioner is also authorized to charge Deposit Account No. 18-1579 for any future fees connected in any way to this application. Two copies of this letter are enclosed.

Respectfully submitted,

Jon L. Roberts, Esq.  
Registration No. 31,293  
Roberts Abokhair & Mardula, LLC  
11800 Sunrise Valley Drive, Suite 1000  
Reston, VA 20191-5302  
(703) 391-2900

March 29, 2001

Atty. Docket No.2609-001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,499	02/14/2001	Kristen McRedmond	2609-001

CONFIRMATION NO. 4596

## FORMALITIES LETTER



Roberts Abokhair & Mardula, LLC  
Suite 1000  
11800 Sunrise Valley Drive  
Reston, VA 20191-5302

Date Mailed: 03/19/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Kristen McRedmond  
Serial No.: 09/783,499                      Group Art Unit:  
Filed: 02/14/01                              Examiner:  
For: **SYSTEM AND METHOD FOR BUSINESS TO INVESTOR EXCHANGE FOR  
RAISING CAPITAL AND FOR CREATING A SECONDARY MARKET FOR  
PRIVATE EQUITY**

**SUBMISSION OF CORRECTED APPLICATION PAPERS  
UNDER 37 C.F.R. 1.84**

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Dear Sir:

Enclosed are the following items for filing in the above-referenced U.S. Patent Application:

1. Substitute drawings (2 figures, 2 sheets) in compliance with 37 CFR 1.84.

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Respectfully submitted,

Jon L. Roberts, Esq.  
Registration No. 31,293  
Roberts Abokhair & Mardula, LLC  
11800 Sunrise Valley Drive, Suite 1000  
Reston, VA 20191-5302  
(703) 391-2900

March 29, 2001

Atty. Docket No.2609-001



CERTIFICATE OF EXPRESS MAILING

Express Mail Mailing Label Number EL765897042

Date of Deposit: March 29, 2001

I hereby certify that the corrected application papers of patent application of Kristen McRedmond for a **SYSTEM AND METHOD FOR BUSINESS TO INVESTOR EXCHANGE FOR RAISING CAPITAL AND FOR CREATING A SECONDARY MARKET FOR PRIVATE EQUITY, 09/783,499** including the copy of the Notice to File Corrected Application Papers; are being deposited with the United States Postal Service for "Express Mail" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Jon L. Roberts, Esq.  
Registration No. 31,293  
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11800 Sunrise Valley Drive, Suite 1000  
Reston, VA 20191-5302  
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